



# **Form 1042**

# **Breakdown**

Insert your personal information here

This information will be cross referenced by the IRS with their data

Form 1042 Department of the Treasury Internal Revenue Service

Annual Withholding Tax Return for U.S. Source Income of Foreign Persons

OMB No. 1545-0096

2018

Go to www.irs.gov/Form1042 for instructions and the latest information.

If this is an amended return, check here

Name of withholding agent, Employer identification number, Ch. 3 Status Code, Ch. 4 Status Code, City or town, state or province, country, and ZIP or foreign postal code. Includes 'For IRS Use Only' section with codes CC, RD, CAF, CR, EDC, FD, FF, FP, I, SIC and a 'Leave Blank' box.

If you do not expect to file this return in the future, check here Enter date final income paid

Section 1 Record of Federal Tax Liability (Do not show federal tax deposits here)

Table with 8 columns: Line No., Period ending, Tax liability for period (including any taxes assumed on Form(s) 1000), Line No., Period ending, Tax liability for period (including any taxes assumed on Form(s) 1000), Line No., Period ending, Tax liability for period (including any taxes assumed on Form(s) 1000). Rows cover months Jan through Dec.

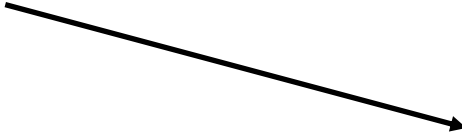
61 No. of Forms 1042-S filed: a On paper b Electronically
62 Total gross amounts reported on all Forms 1042-S and 1000:
a Total U.S. source FDAP income (other than U.S. source substitute payments) reported
b Total U.S. source substitute payments reported:
(1) Total U.S. source substitute dividend payments reported
(2) Total U.S. source substitute payments reported other than substitute dividend payments
c Total gross amounts reported (Add lines 62a-b)
d Enter gross amounts actually paid if different from gross amounts reported

Third Party Designee: Do you want to allow another person to discuss this return with the IRS (see instructions)? Yes. Complete the following. No

Sign Here: Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than withholding agent) is based on all information of which preparer has any knowledge.

Paid Preparer Use Only: Print/Type preparer's name, Preparer's signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

**This information will be cross referenced by the IRS**



<b>63</b>	Total tax reported as withheld or paid by withholding agent on all Forms 1042-S and 1000:	
<b>a</b>	Tax withheld by withholding agent . . . . .	<b>63a</b>
<b>b</b>	Tax withheld by other withholding agents:	
	(1) For payments other than substitute dividends . . . . .	<b>63b(1)</b>
	(2) For substitute dividends . . . . .	<b>63b(2)</b>
<b>c</b>	Adjustments to withholding:	
	(1) Adjustments to overwithholding . . . . .	<b>63c(1)</b> ( )
	(2) Adjustments to underwithholding . . . . .	<b>63c(2)</b>
<b>d</b>	Tax paid by withholding agent . . . . .	<b>63d</b>
<b>e</b>	<b>Total tax reported as withheld or paid</b> (Add lines 63a–d) . . . . .	<b>63e</b>
<b>64</b>	Total net tax liability	
<b>a</b>	Adjustments to total net tax liability . . . . .	<b>64a</b>
<b>b</b>	Total net tax liability under chapter 3 . . . . .	<b>64b</b>
<b>c</b>	Total net tax liability under chapter 4 . . . . .	<b>64c</b>
<b>d</b>	Excise tax on specified federal procurement payments (Total payments made x 2% (0.02)) . . . . .	<b>64d</b>
<b>e</b>	<b>Total net tax liability</b> (Add lines 64a–d) . . . . .	<b>64e</b>
<b>65</b>	Total paid by electronic funds transfer (or with a request for extension of time to file):	
<b>a</b>	Total paid during calendar year . . . . .	<b>65a</b>
<b>b</b>	Total paid during subsequent year . . . . .	<b>65b</b>
<b>66</b>	Enter overpayment applied as credit from 2017 Form 1042 . . . . .	<b>66</b>
<b>67</b>	Credit for amounts withheld by other withholding agents:	
<b>a</b>	For payments other than substitute dividend payments . . . . .	<b>67a</b>
<b>b</b>	For substitute dividend payments . . . . .	<b>67b</b>
<b>68</b>	<b>Total payments.</b> Add lines 65 through 67 . . . . .	<b>68</b>
<b>69</b>	If line 64e is larger than line 68, enter balance due here . . . . .	<b>69</b>
<b>70a</b>	Enter overpayment attributable to overwithholding on U.S. source income of foreign persons . . . . .	<b>70a</b>
<b>b</b>	Enter overpayment attributable to excise tax on specified federal procurement payments . . . . .	<b>70b</b>
<b>71</b>	Apply overpayment (sum of lines 70a and 70b) to <b>(check one)</b> : <input type="checkbox"/> Credit on 2019 Form 1042 or <input type="checkbox"/> Refund	

**Section 2 Reconciliation of Payments of U.S. Source FDAP Income**

<b>1</b>	Total U.S. source FDAP income required to be withheld upon under chapter 4 . . . . .	<b>1</b>
<b>2</b>	Total U.S. source FDAP income required to be reported under chapter 4 but not required to be withheld upon under chapter 4 because:	
<b>a</b>	Amount of income paid to recipients whose chapter 4 status established no withholding is required . . . . .	<b>2a</b>
<b>b</b>	Amount of excluded nonfinancial payments . . . . .	<b>2b</b>
<b>c</b>	Amount of income paid with respect to grandfathered obligations . . . . .	<b>2c</b>
<b>d</b>	Amount of income effectively connected with the conduct of a trade or business in the U.S. . . . .	<b>2d</b>
<b>e</b>	Total U.S. source FDAP income required to be reported under chapter 4 but not required to be withheld upon under chapter 4 (Add lines 2a–d) . . . . .	<b>2e</b>
<b>3</b>	Total U.S. source FDAP income reportable under chapter 4 (Add lines 1 and 2e) . . . . .	<b>3</b>
<b>4</b>	Total U.S. source FDAP income reported on all Forms 1042-S (from line 62a, (b)(1), and (b)(2)) . . . . .	<b>4</b>
<b>5</b>	Total variance, subtract line 3 from line 4, if amount other than zero, provide explanation on line 6 . . . . .	<b>5</b>
<b>6</b>	_____	
	_____	
	_____	

**Section 3 Potential Section 871(m) Transactions**

Check here if any payments (including gross proceeds) were made by the withholding agent under a potential section 871(m) transaction, including a notional principal contract or other derivatives contract that references (in whole or in part) a U.S. stock or other underlying security. See instructions . . . . .

**Section 4 Dividend Equivalent Payments by a Qualified Derivatives Dealer (QDD)**

Check here if any payments were made by a QDD . . . . .

If box is checked, you must:

(1) Attach a statement (see instructions for requirements).

(2) Enter the EIN (not the QI-EIN) of the QDD ▶